Lehman Brothers Holdings Inc · SC 13D/A · Transmontaigne Inc · On 6/15/05 Filed On 6/15/05 9:24pm ET · SEC File 5-36106 · Accession Number 895345-5-635 Find in this entire Filing. Show Docs searched and every "hit". Help... Wildcards: ? (any letter), * (many). Logic: for Docs: & (and), | (or); for Text: | (anywhere), "(&)" (near). As Of Filer Filing For/On/As Docs:Size Issuer <u>Agent</u> Lehman Brothers Holdings Inc Fried Fr..Jacobson/NY/FA SC 13D/A 1:13 Transmontaigne Inc Amendment to General Statement of Beneficial Ownership · Schedule 13D **Filing Table of Contents** Document/Exhibit Description Pages <u>Size</u> 1: SC 13D/A Amendment to General Statement of Beneficial 13 56K **Document Table of Contents** (sequential) (alphabetic) <u>Page</u> <u>Top</u> • Alternative Formats (Word, et al.) 1st Page - Filing Submission

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Sign In Please Sign In

SEC Info

- Item 2. Identity and Background
- Item 4. Purpose of Transaction
- Item 5. Interest in Securities of the Issuer
- Item 6. Contracts, Arrangements, Understandings or Relationships With Respect to Securities of the Issuer

Search My Interests Help

- Contracts, Arrangements, Understandings or Relationships With Respect to Securities of the Issuer
- **Identity and Background**
- Interest in Securities of the Issuer
- Purpose of Transaction

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SCHEDULE 13D

(RULE 13D-101)

Information to be Included in Statements Filed Pursuant to Rule 13d-1(a) and Amendments Thereto Filed Pursuant to Rule 13d-2(a)

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

Under the Securities Exchange Act of 1934 $\hbox{(Amendment No. 1)*}$

TRANSMONTAIGNE INC.

(Name of Issuer)

Common Stock, par value \$0.01 per share

(Title of Class of Securities)

(little of class of securities

89393410

(CUSIP Number)

(CODIT NUMBEL)

Jeffrey A. Welikson, Esq.
Secretary
Lehman Brothers Holdings Inc.
399 Park Avenue, 11th Floor
New York, NY 10022
(212) 526-0858

With a copy to:
David Golay, Esq.
Fried, Frank, Harris, Shriver &
Jacobson
One New York Plaza
New York, New York 10004
(212) 859-8000

(Name, Address and Telephone Number of Persons Authorized to Receive Notices and Communications)

See Item 5

(Date of Frent Which Peggires Filing of This Statement)

(Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this Schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box $|_|$.

Note. Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP NO. 89393410 PG 0 16 PAGE 2 OF 7 PAGES									
<pre>1 NAME OF REPORTING PERSON Lehman Brothers Holdings Inc. 5.S. OR I.R.S. IDENTIFICATION 13-3216325 NO. OF ABOVE PERSON</pre> 1 Lehman Brothers Holdings Inc. 13-3216325									
		THE APPROPRIATE	BOX IF A		[](
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	4 SOURCE	E OF FUNDS							
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	6 CITIZE	ENSHIP OR PLACE	OF ORGANIZATION						
			DELAWARE						
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	OWNED BY	-0-							
	EACH REPORTING	9 SOLE DISPO	SITIVE POWER						
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AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 4,039,422 shares of Common Stock									
	12	CHECK BOX IF T		UNT IN ROW (11) EXCL	UDES				
	13	PERCENT OF CLA	SS REPRESENTED E	Y AMOUNT IN ROW (11)					
		8.5%							

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TYPE OF REPORTING PERSON

HC/CO

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SC 13D/A

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TYPE OF REPORTING PERSON

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	s.s. c	OF REPORTING PER OR I.R.S. IDENTI 'ABOVE PERSON		LB I G 13-274	roup Inc. 1778			
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	NUMBER OF SHARES	7 SOLE VOTING	G POWER					
	SHARES	4,035,758	shares of	Common	Stock			
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		4,035,758	shares of	Common	Stock			
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		4,035,758 shar	es of Com	mon Stoc	k			
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SC 13D/A

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TYPE OF REPORTING PERSON

HC/CO

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This Amendment No. 1 to the Special of 16 (this "Amendment") is filed by the Reporting Persons as an amendment to the initial statement on Schedule 13D (the "Original Schedule 13D") as filed with the Securities and Exchange Commission on October 17, 2003. Capitalized terms used but not defined in this Amendment shall have the respective meanings set forth with respect thereto in the Original Schedule 13D.

ITEM 2. IDENTITY AND BACKGROUND

No change except as described below.

The names, residence or business addresses, citizenships and present principal occupations or employment of the senior executive officers and directors of the Reporting Persons are set forth in Appendix A hereto.

ITEM 4. PURPOSE OF TRANSACTION

No change except as described below.

LBI owned 2,020 shares of Common Stock as of the date of the original Schedule 13D that were inadvertently not reported therein. See Appendix B for a list of transactions in the Common Stock by the Reporting Persons since the date of the original Schedule 13D. As of the date hereof, the Reporting Persons continue to beneficially own 26,636 shares of Series B Convertible Preferred Stock ("Preferred Stock") and 3,664 shares of Common Stock. The shares of Preferred Stock are convertible into 4,035,758 shares of Common Stock at a conversion price of \$6.60 per share of Common Stock.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

No change except as described below.

(a) According to the Company's quarterly report filed with the Securities and Exchange Commission on May 6, 2005, there were 43,459,487 outstanding shares of Common Stock as of May 2, 2005. As of the date hereof, the Reporting Persons continue to beneficially own 26,636 shares of the Preferred Stock. The shares of Preferred Stock are convertible into 4,035,758 shares of Common Stock at a conversion price of \$6.60 per share of Common Stock. LB I Group is the direct beneficial owner of the Preferred Stock. LBI is the direct beneficial owner of 3,664 shares of Common Stock and may be deemed to be indirect owner of the Preferred Stock. Holdings may be deemed to be an indirect owner of both the Preferred Stock and Common Stock.

To the best knowledge of the Reporting Persons, none of the persons listed on Appendix A (i) beneficially owns any Common Stock (other than in his capacity as an executive officer or director of such corporations) or (ii) has the right to acquire any Common Stock.

(c) See Appendix B for a list of transactions in the Common Stock by the Reporting Persons since the date of the original Schedule 13D.

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER

No change except as described below.

On July 1, 2004, David J. Butters, LB I Group's designee to the board of directors of the Company (the "Board"), resigned as a member of the Board effective immediately.

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After reasonable $% \left(1\right) =\left(1\right) \left(1\right) +\left(1\right) \left(1\right)$ inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: <u>June 15, 2005</u>

LEHMAN BROTHERS HOLDINGS INC.

By:/s/ Barrett S. DiPaolo

Name: Barrett S. DiPaolo Title: Vice President

LEHMAN BROTHERS INC.

By:/s/ Barrett S. DiPaolo

Name: Barrett S. DiPaolo
Title: Senior Vice President

LB I GROUP INC.

By: /s/ Barrett S. DiPaolo

Name: Barrett S. DiPaolo Title: Authorized Signatory SC 13D/A 7th Page of 13 TOC 1st Previous Next Bottom Just 7th

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LEHMAN BROTHERS HOLDINGS INC.

BOARD OF DIRECTORS

NAME / TITLE BUSINESS ADDRESS

MICHAEL L. AINSLIE Private Investor and former President and Chief Executive Officer of Sotheby's Holdings

JOHN F. AKERS Retired Chairman of International Business Machines Corporation

ROGER S. BERLIND Theatrical Producer

THOMAS H. CRUIKSHANK Retired Chairman and Chief Executive Officer of Halliburton Company

MARSHA JOHNSON EVANS President of American Red Cross

RICHARD S. FULD, JR. Chairman and Chief Executive Officer of Lehman Brothers Holdings Inc.

SIR CHRISTOPHER GENT Non-Executive Chairman of GlaxoSmithKline Plc

HENRY KAUFMAN
President of Henry Kaufman &
Company, Inc.

JOHN D. MACOMBER
Principal of JDM Investment Group

DINA MERRILL
Director and Vice

Chairman of RKO Pictures, Inc. and $~\underline{\text{New York}},~\underline{\text{New York}}~\underline{10019}$ Actress

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc.

Lehman Brothers Holdings Inc.

745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue $\underline{\text{New York}}, \ \underline{\text{New York}} \ \underline{10019}$

Lehman Brothers Holdings Inc. 745 Seventh Avenue New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

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EXECUTIVE OFFICERS

NAME / TITLE BUSINESS ADDRESS

RICHARD S. FULD, JR.

Lehman Brothers Holdings Inc. Chairman and Chief Executive 745 Seventh Avenue Officer New York, New York 10019

of Lehman Brothers Holdings Inc.

JONATHAN E. BEYMAN Lehman Brothers Holdings Inc. Chief of Operations and Technology 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. DAVID GOLDFARB Chief Administrative Officer 745 Seventh Avenue

New York, New York 10019

JOSEPH M. GREGORY Lehman Brothers Holdings Inc. President and Chief Operating 745 Seventh Avenue

New York, New York 10019 Officer

CHRISTOPHER O'MEARA Lehman Brothers Holdings Inc.

Chief Financial Officer and 745 Seventh Avenue

Controller New York, New York 10019

Lehman Brothers Holdings Inc. THOMAS A. RUSSO Chief Legal Officer 745 Seventh Avenue

New York, New York 10019

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BOARD OF DIRECTORS

NAME / TITLE

HOWARD L. CLARK, JR.

Vice Chairman

THOMAS H. CRUIKSHANK Retired Chairman and Chief Executive Officer of Halliburton Company

FREDERICK FRANK Vice Chairman

RICHARD S. FULD, JR. Chairman and Chief Executive Officer of Lehman Brothers Holdings Inc.

HARVEY M. KRUEGER Vice Chairman

BUSINESS ADDRESS

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

Lehman Brothers Holdings Inc. 745 Seventh Avenue

New York, New York 10019

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Entered 09/13/12 16:28:12 Filed 09/13/12 08-13555-mg Doc 30902-7 LEHMANDER THERS INC.

EXECUTIVE OFFICERS

NAME / TITLE BUSINESS ADDRESS

RICHARD S. FULD, JR. Lehman Brothers Holdings Inc. Chairman and Chief Executive

745 Seventh Avenue Officer New York, New York 10019

DAVID GOLDFARB Lehman Brothers Holdings Inc. Chief Administrative Officer 745 Seventh Avenue

New York, New York 10019

JOSEPH M. GREGORY Lehman Brothers Holdings Inc. President and Chief Operating 745 Seventh Avenue

New York, New York 10019 Officer

JONATHAN E. BEYMAN Lehman Brothers Holdings Inc. Chief of Operations and Technology 745 Seventh Avenue

New York, New York 10019

CHRISTOPHER O'MEARA Lehman Brothers Holdings Inc.

Chief Financial Officer and 745 Seventh Avenue Controller New York, New York 10019

THOMAS A. RUSSO Lehman Brothers Holdings Inc. Chief Legal Officer 745 Seventh Avenue

New York, New York 10019

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BOARD OF DIRECTORS

NAME / TITLE BUSINESS ADDRESS

EDWARD S. GRIEB Lehman Brothers Holdings Inc.

Director 745 Seventh Avenue

New York, New York 10019

CHRISTOPHER O'MEARA Lehman Brothers Holdings Inc. Director 745 Seventh Avenue

New York, New York 10019

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EXECUTIVE OFFICERS

NAME / TITLE BUSINESS ADDRESS

DEXTER E. SENFT Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

MICHAEL I. BRILL Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

THOMAS BANAHAN Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

EDWARD B. MCGEOUGH Lehman Brothers Holdings Inc. Managing Director 745 Seventh Avenue $\frac{\text{New York}}{10019} = \frac{10019}{10019}$

BRIAN P. WADE Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

JARETT WAIT Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

ALAN WASKOWITZ Lehman Brothers Holdings Inc. Managing Director 745 Seventh Avenue

New York, New York 10019

JEFFREY S. WECKER

Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue New York, New York 10019

STEVEN L. BERKENFELD Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

THOMAS E. BERNARD

Managing Director

Mew York, New York 10019

MICHAEL S. CASTLEMAN Lehman Brothers Holdings Inc. Managing Director $\ensuremath{745}$ Seventh Avenue

New York, New York 10019

JAMES R. EMMERT

Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

EDWARD S. GRIEB Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

ROBERT G. HEDLUND III Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue
New York, New York 10019

RUTH E. HOROWITZ Lehman Brothers Holdings Inc. Managing Director 745 Seventh Avenue $\frac{\text{New York, New York } 10019}{\text{New York } 10019}$

WILLIAM J. HUGHES Lehman Brothers Holdings Inc.

Managing Director

745 Seventh Avenue

New York, New York 10019

ALEX KIRK Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

HENRY KLEIN

Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

WILLIAM E. LIGHTEN

Managing Director

Mew York, New York 10019

KURT A. LOCHER

Managing Director

Mew York, New York 10019

RAYMOND C. MIKULICH Lehman Brothers Holdings Inc.
Managing Director 745 Seventh Avenue

New York, New York 10019

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Managing Director $Pg^{4}4s$ Avenue

New York, New York 10019

ROBERT D. REDMOND Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

JAMES P. SEERY Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

MARK A. WALSH Lehman Brothers Holdings Inc.

Managing Director 745 Seventh Avenue

New York, New York 10019

MURAT ERKURT Lehman Brothers Holdings Inc.

Senior Vice President 745 Seventh Avenue $\underbrace{\text{New York}}_{10019}$

ANTHONY F. FELELLA Lehman Brothers Holdings Inc.

Senior Vice President 745 Seventh Avenue

New York, New York 10019

GERARD J. FOX Lehman Brothers Holdings Inc.

Senior Vice President 745 Seventh Avenue

New York, New York 10019

KEVIN R. GENIRS Lehman Brothers Holdings Inc.

Senior Vice President 745 Seventh Avenue $\underbrace{\text{New York}}_{10019}$, $\underbrace{\text{New York}}_{10019}$

FRED E. STEINBERG Lehman Brothers Holdings Inc.

Senior Vice President 745 Seventh Avenue $\frac{\text{New York}}{10019}$

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TRANSACTIONS

THE IDENTITY

OF THE PERSON

COVERED BY ITEM 5(C) WHO EFFECTED THE TRANSACTION.	THE DATE OF TRANSACTION.	THE AMOUNT OF SECURITIES INVOLVED.	THE PRICE PER SHARE OR UNIT	WHERE AND HOW THE TRANSACTION WAS EFFECTED.
LBI	3/12/2004	592	\$ 5.76	Sold
LBI	4/6/2004	1,157	6.35	Sold
LBI	8/4/2004	1,155	6.14	Acquired
				Converted 2,640 shares of Preferred Stock to 400,000 shares of
LB I Group	4/8/2005	400,000	6.600	Common Stock
LB I Group	4/8/2005	32,500	8.150	Sold
LB I Group	5/25/2005	4,200	8.151	Sold
LB I Group	5/27/2005	152,200	8.173	Sold
LB I Group	5/31/2005	85,500	8.299	Sold
LB I Group	6/1/2005	125,600	8.411	Sold
LB I Group	6/3/2005	500,000	6.600	Converted 3,300 shares of Preferred Stock to 500,000 shares of Common Stock
LB I Group	6/3/2005	100,000	9.002	Sold
LB I Group	6/6/2005	100,000	9.030	Sold
LB I Group	6/7/2005	58,600	9.025	Sold
LB I Group	6/8/2005	200,000	9.033	Sold
LB I Group	6/9/2005	41,400	9.049	Sold

Dates Referenced Herein and Documents Incorporated By Reference Filed 09/13/12 Entered 09/13/12 16:28:12

Date First Last Other Filings 08-13555-mg Doc 30902-7 Exhibit G This SC 13D/A Filing <u>Date</u> 10/17/03 SC 13D, 424B2 5 5 5 6 7/1/04 424B5 5/2/05 424B2 5/6/05 4, 424B3, 10-Q, 8-A12B, 8-K Corrected On 6/15/05 Filed On / Filed As Of 6/16/05 424B5, SC 13G, 424B3

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